# COMPLAINTS AND APPEALS FORM

## What is a complaint?

A complaint is an expression of dissatisfaction about a service delivered by Training Specialists Australia (RTO ID 21811). This may be related to:

- Individual(s) employed by Training Specialists Australia
- Student(s) with whom you interact with as part of a course with Training Specialists Australia
- Any other individual(s) with whom you have contact with as part of your course
- Training and assessment
- Student and support services received
- Access and equity
- Discrimination, vilification and harassment
- Workplace Health and Safety
- A system, policy or process of the RTO

## Who can make a complaint or appeal a decision?

Anyone can make a complaint. Students enrolled, or seeking to enrol, in a course with Training Specialists Australia or a third party, parents and caregivers, employers and internal staff are all entitled to access the complaints and appeals process.

#### When and how should I make a complaint or appeal?

Wherever possible, complaints should be made as soon as possible following an incident or event. We encourages informal complaint resolution as the first stage in the complaints handling process, however recognises that in some circumstances this may be inappropriate. If you are unsure whether you should make a complaint, or how to raise your complaint, please contact the head office (details below).

To submit a formal complaint, please fill in the green sections on this form and submit the completed form by:

- Calling 1300 783 220 and requesting to speak with the Operations Manager
- Emailing a copy of the form to info@trainingspecialists.net
- Mailing the form, marked to the attention of the Operations Manager, to: 413 King Georges Road Beverly Hills NSW 2209

#### What can I expect once I've made a complaint or appeal?

Within 5 working days you will receive written acknowledgement of the complaint/appeal. The acknowledgement will come via the email provided and will include:

- A reference number for the complaint or appeal
- The relevant internal Complaints and Appeals policies and procedures
- Contact information for the assigned Reviewer who will investigate your complaint

If you wish to submit a formal complaint, please complete sections A, B, C, D and E. If you wish to appeal a decision made previously, please complete sections A, C, D and E.

**Version:** V1 May 2016 **Page:** 1 of 4

PART A: COMPLAINANT INFORMATION							
Given Name(s)		Surname					
Contact Number		Email Address					
Who is complaining	☐ Prospective/enrolling stude	nt 🗌 Staff mem	ber				
	☐ Current student	☐ Employer	representative				
	☐ Past student	☐ Job Agenc	y representative				
	☐ Trainer/Assessor	☐ Other:					
Organisation the	☐ Training Specialists Australia Pty Ltd (RTO ID 21811)						
complaint relates to	☐ Third party, please specify:						
	PART B: INFORMAL COM						
	matter with a staff member?	☐ Yes	☐ No (go to part C)				
When did you first discu	iss the matter?						
Who did you discuss the	e matter with?						
What were the results of	or outcomes from your discussion	1?					
DADT C. FORMAL COMPLAINT OR ARREST							
	PART C: FORMAL CON	APLAINT OR APPEAL					
What does your complain	PART C: FORMAL CON						
What does your complain Trainer(s)	int/appeal relate to? Please tick	all that apply.	treatment				
☐ Trainer(s) ☐ Other student(s)	int/appeal relate to? Please tick	all that apply.	treatment ying and/or harassment				
☐ Trainer(s) ☐ Other student(s) ☐ Staff member(s)	int/appeal relate to? Please tick  Training and/or ass Training resources Assessment tools	all that apply.  essment	ying and/or harassment rimination				
☐ Trainer(s) ☐ Other student(s) ☐ Staff member(s) ☐ My employer	Training and/or ass Training resources Assessment tools System, policy or pr	essment	ying and/or harassment rimination ess and equity				
☐ Trainer(s) ☐ Other student(s) ☐ Staff member(s) ☐ My employer ☐ A third party	Training and/or ass Training resources Assessment tools System, policy or pr Something	all that apply.  essment	ying and/or harassment rimination				
☐ Trainer(s) ☐ Other student(s) ☐ Staff member(s) ☐ My employer ☐ A third party ☐ Other:	int/appeal relate to? Please tick  Training and/or ass Training resources Assessment tools System, policy or pr Something Other:	all that apply.  essment	ying and/or harassment crimination ess and equity acy and personal information er:				
☐ Trainer(s) ☐ Other student(s) ☐ Staff member(s) ☐ My employer ☐ A third party ☐ Other:	Training and/or ass Training resources Assessment tools System, policy or pr Something	all that apply.  essment	ying and/or harassment crimination ess and equity acy and personal information er:				
☐ Trainer(s) ☐ Other student(s) ☐ Staff member(s) ☐ My employer ☐ A third party ☐ Other:	Training and/or ass Training resources Assessment tools System, policy or pr Something Other:	all that apply.  essment	ying and/or harassment crimination ess and equity acy and personal information er:				
☐ Trainer(s) ☐ Other student(s) ☐ Staff member(s) ☐ My employer ☐ A third party ☐ Other:	Training and/or ass Training resources Assessment tools System, policy or pr Something Other:	all that apply.  essment	ying and/or harassment crimination ess and equity acy and personal information er:				
☐ Trainer(s) ☐ Other student(s) ☐ Staff member(s) ☐ My employer ☐ A third party ☐ Other:	Training and/or ass Training resources Assessment tools System, policy or pr Something Other:	all that apply.  essment	ying and/or harassment crimination ess and equity acy and personal information er:				
☐ Trainer(s) ☐ Other student(s) ☐ Staff member(s) ☐ My employer ☐ A third party ☐ Other:	Training and/or ass Training resources Assessment tools System, policy or pr Something Other:	all that apply.  essment	ying and/or harassment crimination ess and equity acy and personal information er:				
☐ Trainer(s) ☐ Other student(s) ☐ Staff member(s) ☐ My employer ☐ A third party ☐ Other:	Training and/or ass Training resources Assessment tools System, policy or pr Something Other:	all that apply.  essment	ying and/or harassment crimination ess and equity acy and personal information er:				
☐ Trainer(s) ☐ Other student(s) ☐ Staff member(s) ☐ My employer ☐ A third party ☐ Other:	Training and/or ass Training resources Assessment tools System, policy or pr Something Other:	all that apply.  essment	ying and/or harassment crimination ess and equity acy and personal information er:				
☐ Trainer(s) ☐ Other student(s) ☐ Staff member(s) ☐ My employer ☐ A third party ☐ Other:	Training and/or ass Training resources Assessment tools System, policy or pr Something Other:	all that apply.  essment	ying and/or harassment crimination ess and equity acy and personal information er:				
☐ Trainer(s) ☐ Other student(s) ☐ Staff member(s) ☐ My employer ☐ A third party ☐ Other:	Training and/or ass Training resources Assessment tools System, policy or pr Something Other:	all that apply.  essment	ying and/or harassment crimination ess and equity acy and personal information er:				
☐ Trainer(s) ☐ Other student(s) ☐ Staff member(s) ☐ My employer ☐ A third party ☐ Other:	Training and/or ass Training resources Assessment tools System, policy or pr Something Other:	all that apply.  essment	ying and/or harassment crimination ess and equity acy and personal information er:				
☐ Trainer(s) ☐ Other student(s) ☐ Staff member(s) ☐ My employer ☐ A third party ☐ Other:	Training and/or ass Training resources Assessment tools System, policy or pr Something Other:	all that apply.  essment	ying and/or harassment crimination ess and equity acy and personal information er:				

Version: V1 May 2016 Page: 2 of 4

PART C: FORMAL COMPLAINT OR APPEAL				
PART D: EXPECTED OUTCOMES				
What would you consider a satisfactory resolution of your complaint or appeal?				
PART E: PRIVACY NOTICE AND DECLARATION				
Information provided in lodging your complaint or appeal may need to be divulged to persons with direct involvement. This may include information you have provided being sent to individuals who form the basis of your complaint for their response. We cannot guarantee provisions of anonymity, particularly when the complaint concerns named individual(s). If you have concerns about this occurring, please tick the box below and the Operations Manager will call to discuss the matter with you before any further action is taken.				
☐ I have concerns about information being provided to other parties and I would like to discuss this before any further action is taken.				

**Version:** V1 May 2016 **Page:** 3 of 4

OFFICE USE ONLY				
Complaint Received By:		Date of Receipt:		
Assigned Reviewer:		Register Number:		

ACTION AND MONITORING					
Receipt of completed Complaint/Appeal (within 3 business days)	Date	Initial			
Complaint/appeal logged in the Complaints and Appeals Register					
Register Number noted on the Complaints and Appeals Form					
Reviewer assigned and the complaint referred					
Written acknowledgement has been issued to the complainant					
Evidence of acknowledgement issued in the relevant Complaints folder					
Initial Communication (within 5 business days)	Date	Initial			
Contact made with the complainant to clarify complaint details and process					
Log or evidence of communication saved in the relevant Complaints folder					
Initial Investigation and Meeting (within 10 business days)	Date	Initial			
Details of the complaint/appeal confirmed					
Named individual(s) given a chance to respond to the complaint					
Initial meeting held to discuss with the complaint with all parties					
Solution found and remedied					
Details of solution documented and communicated to all parties in writing					
Recommendations (within 20 business days)		Initial			
Parties advised of recommendations for resolution and invited to submit					
feedback on the recommendations by a nominated due date					
Further investigation (within 30 calendar days)	Date	Initial			
Referral to another staff member, please specify:					
Referral to an external body, please specify:					
Referred for mediation, please specify mediator:					
Final report and decision (within 60 calendar days)	Date	Initial			
Decision and right to appeal the decision communicated to complainant					
Decision and right to appeal the decision communicated to other parties					
If no decision has been reached, parties are informed in writing of the delay					
Monitoring (after complaint resolution)	Date	Initial			
Opportunity for Improvement Form completed; OFI number:					
Opportunity for Improvement implemented					
Actioned at monthly Quality and Compliance meeting					
Policies and procedures updated					

**Version:** V1 May 2016 **Page:** 4 of 4